	Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-008-C2	Application No. 10/695,110	
/ C	nformation Disclo		Applicant WANG et al.		
SE	(Use several sheet	ts if necessary)	Filing Date October 28, 2003	Group Art Unit	

PRADEMARKO			U.S. Pate	ent Documents			
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RR	AA	5,797,960	08/1998	STEVENS et al.			
	AB	5,904,711	05/1999	FLOM et al.			
	AC	6,142,994	11/2000	SWANSON et al.			
	AD	6,152,920	11/2000	THOMPSON et al.			
	AE	6,161,543	12/2000	COX et al.			
	AF	6,237,605	05/2001	VASKA et al.			
	AG	6,245,064	06/2001	LESH et al.			
7	AH	6,527,767	03/2003	WANG et al.	1		
	AI						
	AJ						
	AK						

	Foreig	n Patent Doo	uments or P	ublished Foreign F	Patent A	Application	าร	
Examiner	Desig.	Document	Publication	Country or			Trans	lation
Initial	ID_	Number	Date	Patent Office	Class	Subclass	Yes	No
Re	AL	WO 95/10318	04/1995	WIPO				
	AM	WO 95/10319	04/1995	WIPO	}			
	AN	WO 95/10320	04/1995	WIPO				
	AO	WO 95/10321	04/1995	WIPO				
	AP	WO 95/10978	04/1995	WIPO				
	AQ	WO 96/26675	01/1996	WIPO				
	AR	WO 96/10961	04/1996	WIPO				
	AS	WO 96/39966	12/1996	WIPO				
	AT	WO 97/06727	02/1997	WIPO				
	AU	WO 97/17904	05/1997	WIPO				
	AV	WO 97/25916	07/1997	WIPO				
	AW	WO 97/25918	07/1997	WIPO :				
7	AX	WO 97/25919	07/1997	WIPO	\ \ \			

Examiner Signature	Date Considered
1 / 23	1 1 -
L'Ascollino	12/10/05
EXAMINER: Initials citation considered. Draw line through citation if no	t in conformance and not considered. Include copy of this form with
next communication to applicant.	••

Substitute Disclosure Form (PTO-1449)

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 003-008-C2	Application No. 10/695,110	
	losure Statement plicant	Applicant WANG et al.		
	eets if necessary)	Filing Date October 28, 2003	Group Art Unit 3739	

	Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Trans Yes	slation No	
RR	AY	WO 97/32525	09/1997	WIPO	17	$\overline{\gamma}$			
	ΑZ	WO 97/37607	10/1997	WIPO					
	AAA	WO 97/45156	12/1997	WIPO		/			

	Other Documents (include Author, Title, Date, and Place of Publication)								
Examiner Initial	Desig. ID	Document							
	ABB								
	ACC								
	ADD								
	AEE								

Examiner Signature	Date Considered
'K. Kollens	12/10/05
EXAMINER: Initials citation considered. Draw line through citation if no	ot in conformance and not considered. Include copy of this form with



LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

PAUL J. WANG, et al.

A CARDIAC ABLATION SYSTEM AND METHOD FOR TREATMENT OF

CARDIAC ARRHYTHMIAS AND TRANSMYOCARDIAL

REVASCULARIZATON

Application No.:

10/695,110

Filing date:

October 28, 2003

U.S. Patent	Docum		* Reference	Designation		
EXAMINER INITIAL	*	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
INITIAL RE	A1	3,862,627	01/1975	Hans, Sr.	- -	-
	A2	4,802,475	02/1989	Weshahy		
	A3	4,815,470	03/1989	Curtis et al.		
	A4	5,108,390	04/1992	Potocky et al.		-
	A5	5,147,355	09/1992	Friedman et al.		
	A6	5,224,943	07/1993	Goddard		
	A7	5,231,995	08/1993	Desai		· ·
	A8	5,254,116	10/1993	Baust et al.		
	A9	5,263,493	11/1993	Avitall		
	A9.1	5,281,215	01/1994	Milder		
	A10	5,295,484	03/1994	Marcus et al.		
	A11	5,324,284	06/1994	Imran		1
	A11.1	5,334,181	08/1994	Rubinsky et al.		
	A12	5,348,554	09/1994	Imran et al.		
	A13	5,353,783	10/1994	Nakao et al.		T
	A14	5,385,148	01/1995	Lesh et al.		<u> </u>
	A15	5,405,376	04/1995	Mulier et al.		
	A15.1	5,423,807	06/1995	Milder		
	A16	5,423,811	06/1995	Imran et al.		
	A17	5,431,649	07/1995	Mulier et al.		
	A18	5,433,708	07/1995	Nichols et al.		
	A19	5,435,308	07/1995			
	A20	5,437,651	08/1995	Todd et al.		
	A21	5,450,843	09/1995	Moll et al.		
	A22	5,465,717	11/1995	Imran et al.		
	A23	5,478,330	12/1995	Imran et al.	· · · · · · · · · · · · · · · · · · ·	
	A24	5,487,385	01/1996	Avitall		
	A25	5,487,757	01/1996	Truckai et al.		
	A26	5,520,682	05/1996	Baust et al.		
	A27	5,536,267	07/1996	Edwards et al.		
	A28	5,545,200	08/1996	West et al.		
	A29	5,549,661	08/1996	Kordis et al.		
	A30	5,555,883	09/1996.	Avitall		+
	A31	5,560,362	10/1996	Sliwa, Jr. et al.		
	A32	5,575,766	11/1996	Swartz et al.		
	A33	5,575,810	11/1996	Swanson et al.		
7	A34	5,578,007	11/1996	Imran		

U.S. Patent				Designation	101400	TOUR OLAGO	
EXAMINER * DOCUMENT		DATE NAME	CLASS	SUB-CLASS			
NITIAL	 	NO.	40/4000				
RR	A35	5,582,609	12/1996	Swanson et al.		 	
	A36	5,607,462	03/1997	Imran		ļ	
	A37	5,630,837	05/1997	Crowley			
	A38	5,643,197	07/1997	Brucker et al.		<u> </u>	
	A39	5,656,029	08/1997	Imran et al.		<u> </u>	
	A40	5,658,278	08/1997	Imran et al.			
	A41	5,676,662	10/1997	Fleischhacker et al.			
	A42	5,676,693	10/1997	LaFontaine		ļ	
	A43	5,678,550	10/1997	Bassen et al.	.	<u> </u>	
	A44	5,680,860	10/1997	Imran			
	A44.1		10/1997	Igo et al.			
	A45	5,681,308	1.0/1997	Edwards et al.		<u> </u>	
	A46	5,687,723	11/1997	Avitali		<u> </u>	
	A47	5,690,611	11/1997	Swartz et al.			
	A48	5,697,925	12/1997	Taylor			
•	A49	5,697,927	12/1997	Imran et al.			
	A50	5,697,928	12/1997	Walcott et al.			
	A51	5,716,389	02/1998	Walinsky et al.			
	A52	5,718,701	02/1998	Shai et al.			
	A53	5,718,241	02/1998	Ben-Haim et al.			
	A54	5,720,775	02/1998	Lanard			
	A54.1	5,730,074	03/1998	Peter			
	A55	5,730,127	03/1998	Avitall			
	A56	5,730,704	03/1998	Avitall			
	A57	5,733,280	03/1998	Avitall			
	A58	5,755,760	05/1998	Maguire et al.			
	A59	5,769,846	06/1998	Edwards et al.			
	A60	5,800,428	09/1998	Nelson et al.			
	A60.1		09/1998	Pomeranz et al.			
	A61	5,810,802	09/1998	Panescu et al.			
	A62	5,827,216	10/1998	Igo et al.			
··	A63	5,836,947	11/1998	Fleischman et al.			
	A64	5,871,523	02/1999	Fleischman et al.		1 1	
	A65	5,871,525	02/1999	Edwards et al.			
	A66	5,879,295	03/1999	Li et al.			
	A67	5,879,296	03/1999	Ockuly et al.	 		
	A68	5,882,346	03/1999	Pomeranz et al.		 	
	A69	5,885,278	03/1999	Fleischman		<u> </u>	
	A70	5,895,417	04/1999	Pomeranz et al.		11-	
	A71	5,897,554	04/1999	Chia et al.			
	A72	5,899,899	05/1999	Arless et al.			
	A73	5,902,289	05/1999	Swartz et al.		1 1	
	A74	5,916,214	06/1999	Cosio et al.	- -		
	A75	5,921,924	07/1999	Avitall	- 		
	A76	5,921,982	07/1999	Lesh et al.			
	A77	5,928,191	07/1999	Houser et al.	 		
4 -	A78	5,927,284	07/1999	Borst et al.			

R. Rellins 12/10/05

U.S. Pater	nt Docum	nents	* Referenc	e Designation		
EXAMINE INITIAL	R *	DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS
RR	A79	5,931,810	08/1999	Grabek	<u> </u>	
. \	. A80	5,931,848	08/1999	Saadat		
7	A81	5,954,661	09/1999	Greenspon et al.		
.,	A82	5,971,983	10/1999	Lesh		
\Box	A83	6,012,457	01/2000	Lesh		

Other Art (In	cludin	g Author, Title, Date, Pages, etc.)
EXAMINER INITIAL	* .	TITLE
RR	C1	Cox et al., "The Surgical Treatment of Atrial Fibrillation, IV Surgical Technique, " J Thorac Cardiovasc Surg, 1991; 101: 584-592.
	C2	Wonnell et al., "Evaluation of Microwave and Radio Frequency Catheter Ablation in a Myocardium-Equivalent Phantom Model," <i>IEEE Transactions on Biomedical Engineering</i> , 1992;39(10):1086-1095.
	СЗ	He et al., "Preliminary Results Using Ultrasound Energy for Ablation of the Ventricular Myocardium in Dogs," <i>Am J Card</i> , 1994;73:1029-1031.
	C4	Elvan et al., "Radiofrequency Catheter Ablation of the Atria Eliminates Pacing-Induced Sustained Atrial Fibrillation and Reduces Connexin in 43 Dogs," Circulation, 1997;96(5):1675-1685.
	C5	He et al., "Application of Ultrasound Energy for Intracardiac Ablation of Arrhythmias," The European Society of Cardiology, 1995;16:961-966.
	C6	Zimmer et al., "The Feasibility of Using Ultrasound for Cardiac Ablation," IEEE Transactions on Biomedical Engineering, 1995;42(9):891-897.
	C7	Avitall et al., "A Thoracoscopic to Ablate Atrial Fibrillation Via Linear Radiofrequency Lesion Generation on the Epicardium of Both Atria," PACE, 1996;19(Part II): 626,#241.
	C8	Fieguth et al., "Inhibition of Atrial Fibrillation by Pulmonary Vein Isolation and Auricular Resection - Experimental Study in a Sheep Model," European Journal of Cardio-Thoracic Surgery, 1997;11:714-721.
	C9	Pfeiffer et al., "Epicardial Neodymium," Am Heart J, 1996;94(12):3221-3225.
	C10	Hynynen et al., "Cylindrical Ultrasonic Transducers for Cardiac Catheter Ablation," IEEE Transactions on Biomedical Engineering, 1997;44(2):144-151.
·	C11	Elvan et al., "Radiofrequency Catheter Ablation of theAtria Eliminates Pacing-Induced Sustained Atrial Fibrillation and Reduces Connexin 43 in Dogs," Circulation, 95:5, September 2, 1997, pp. 1675-1685.
	C12	Intracardiac Ultrasound Guidance," Circulation, 1997;96(8):2715-2721.
	C13	Weber, "Laser versus Radiofrequency Catheter Ablation of Ventricular Myocardium in Dogs: A Comparative Test," Cardiology, 1997: 88:346-352.
	C14	Inoue et al., "Video Assisted Thoracoscopic and Cardioscopic Radiofrequency Maze Ablation," ASAIO Journal, 1997;43:334-337.
	C15	by Nonsurgical Epicardial Mapping in Chronic Chagasic heart Disease," PACE, January 1999; 22 (Part I), 128-130.
1	C16	Chevalier, et al., "Thoracoscopic Epicardial Radiofrequency Ablation for Vagal Atria Fibrillation in Dogs," <i>PACE</i> June 1999; 22 (Part I), 880-886.

Attorney Docket No. 003-008-C2 Page 4 of 4

	Other Art (Including Author, Title, Date, Pages, etc.)				
t	,RR	C17	Cox et al., "The Maze III Procedure for Treatment of Atrial Fibrillation," Cardiac Arrhythmias, 78: 460-475.		
		C18	Stone et al., "Ablation of Atrial Fibrillation by the Maze Procedure," Surgical Forum, Cardiothoracic Surgery, date unknown, 213-215.		

EXAMINER	DATE CONSIDERED
R Rollins	12/10/05

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant